

## Chapter 2 part B: outline

### 2.3 FTP

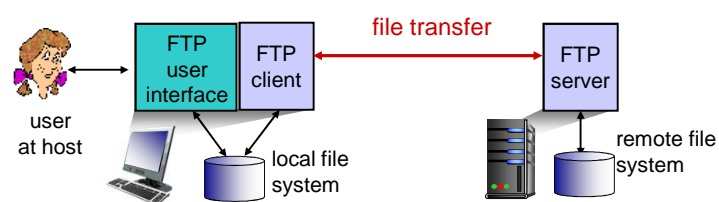
### 2.4 electronic mail

- SMTP, POP3, IMAP

### 2.5 DNS

Application Layer 2-1

## FTP: the file transfer protocol

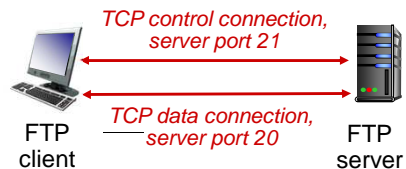


- ❖ transfer file to/from remote host
- ❖ client/server model
  - *client*: side that initiates transfer (either to/from remote)
  - *server*: remote host
- ❖ ftp: RFC 959
- ❖ ftp server: port 21

Application Layer 2-2

## FTP: separate control, data connections

- ❖ FTP client contacts FTP server at port 21, using TCP
- ❖ client authorized over control connection
- ❖ client browses remote directory, sends commands over control connection
- ❖ when server receives file transfer command, *server* opens 2<sup>nd</sup> TCP data connection (for file) to client
- ❖ after transferring one file, server closes data connection



- ❖ server opens another TCP data connection to transfer another file
- ❖ control connection: *“out of band”*
- ❖ FTP server maintains “state”: current directory, earlier authentication

Application Layer 2-3

## FTP commands, responses

### *sample commands:*

- ❖ sent as ASCII text over control channel
- ❖ **USER** *username*
- ❖ **PASS** *password*
- ❖ **LIST** return list of file in current directory
- ❖ **RETR** *filename* retrieves (gets) file
- ❖ **STOR** *filename* stores (puts) file onto remote host

### *sample return codes*

- ❖ status code and phrase (as in HTTP)
- ❖ **331 Username OK, password required**
- ❖ **125 data connection already open; transfer starting**
- ❖ **425 Can't open data connection**
- ❖ **452 Error writing file**

Application Layer 2-4

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Application Layer 2-5

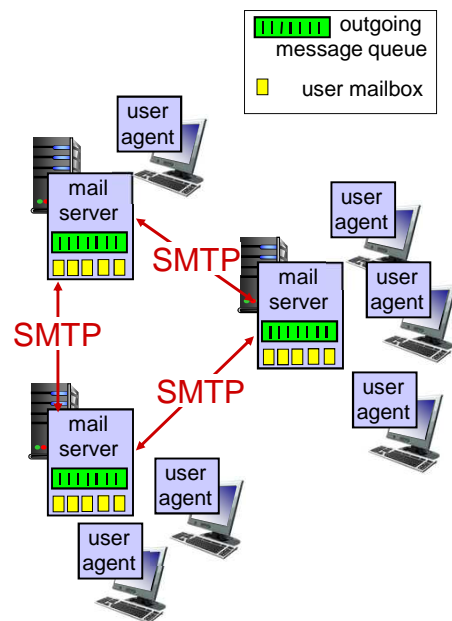
## Electronic mail

*Three major components:*

- ❖ user agents
- ❖ mail servers
- ❖ simple mail transfer protocol: SMTP

*User Agent*

- ❖ a.k.a. “mail reader”
- ❖ composing, editing, reading mail messages
- ❖ e.g., Outlook, Thunderbird, iPhone mail client
- ❖ outgoing, incoming messages stored on server

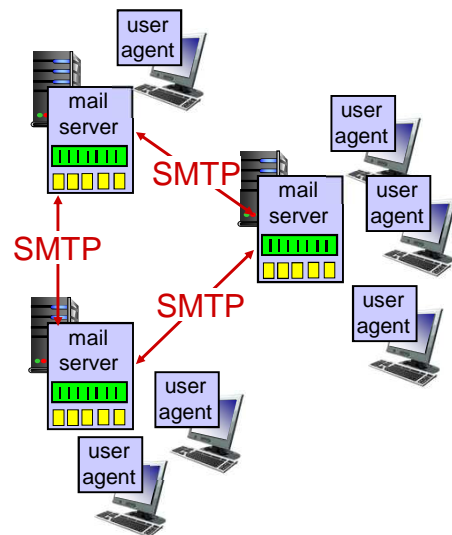


Application Layer 2-6

## Electronic mail: mail servers

### mail servers:

- ❖ *mailbox* contains incoming messages for user
- ❖ *message queue* of outgoing (to be sent) mail messages
- ❖ *SMTP protocol* between mail servers to send email messages
  - client: sending mail server
  - “server”: receiving mail server



Application Layer 2-7

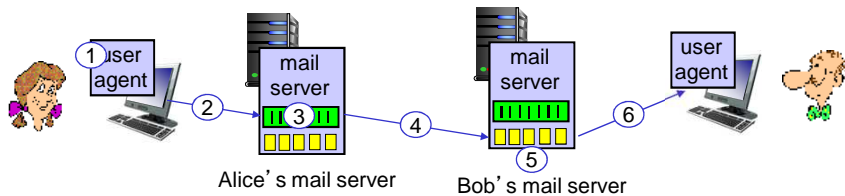
## Electronic Mail: SMTP [RFC 2821]

- ❖ uses TCP to reliably transfer email message from client to server, port 25
- ❖ direct transfer: sending server to receiving server
- ❖ three phases of transfer
  - handshaking (greeting)
  - transfer of messages
  - closure
- ❖ command/response interaction (like HTTP, FTP)
  - **commands:** ASCII text
  - **response:** status code and phrase
- ❖ messages must be in 7-bit ASCII

Application Layer 2-8

## Scenario: Alice sends message to Bob

- 1) Alice uses UA to compose message "to" bob@someschool.edu
- 2) Alice's UA sends message to her mail server; message placed in message queue
- 3) client side of SMTP opens TCP connection with Bob's mail server
- 4) SMTP client sends Alice's message over the TCP connection
- 5) Bob's mail server places the message in Bob's mailbox
- 6) Bob invokes his user agent to read message



Application Layer 2-9

## Sample SMTP interaction

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C: How about pickles?
C: .
S: 250 Message accepted for delivery
C: QUIT
S: 221 hamburger.edu closing connection
```

Application Layer 2-10

## Try SMTP interaction for yourself:

- ❖ `telnet servername 25`
- ❖ see 220 reply from server
- ❖ enter HELO, MAIL FROM, RCPT TO, DATA, QUIT commands

above lets you send email without using email client (reader)

Application Layer 2-11

## SMTP: final words

- ❖ SMTP uses persistent connections
- ❖ SMTP requires message (header & body) to be in 7-bit ASCII
- ❖ SMTP server uses CRLF . CRLF to determine end of message

### *comparison with HTTP:*

- ❖ HTTP: pull
- ❖ SMTP: push
- ❖ both have ASCII command/response interaction, status codes
- ❖ HTTP: each object encapsulated in its own response msg
- ❖ SMTP: multiple objects sent in multipart msg

Application Layer 2-12

## Mail message format

SMTP: protocol for exchanging email msgs

RFC 822: standard for text message format:

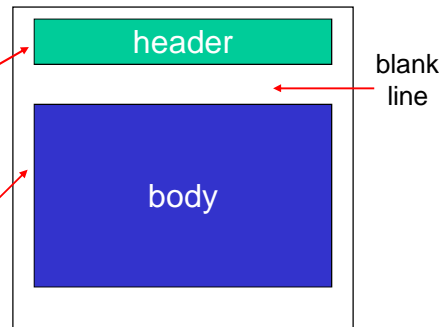
❖ header lines, e.g.,

- To:
- From:
- Subject:

*different* from SMTP MAIL FROM, RCPT TO: commands!

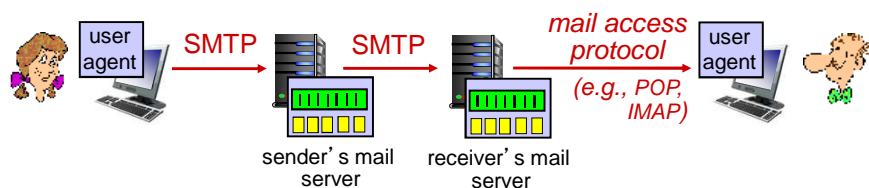
❖ Body: the “message”

- ASCII characters only



Application Layer 2-13

## Mail access protocols



❖ **SMTP**: delivery/storage to receiver's server

❖ mail access protocol: retrieval from server

- **POP**: Post Office Protocol [RFC 1939]: authorization, download
- **IMAP**: Internet Mail Access Protocol [RFC 1730]: more features, including manipulation of stored msgs on server
- **HTTP**: gmail, Hotmail, Yahoo! Mail, etc.

Application Layer 2-14

## POP3 protocol

### *authorization phase*

- ❖ client commands:
  - **user**: declare username
  - **pass**: password
- ❖ server responses
  - +OK
  - -ERR

### *transaction phase, client:*

- ❖ **list**: list message numbers
- ❖ **retr**: retrieve message by number
- ❖ **dele**: delete
- ❖ **quit**

```
S: +OK POP3 server ready
C: user bob
S: +OK
C: pass hungry
S: +OK user successfully logged on

C: list
S: 1 498
S: 2 912
S: .
C: retr 1
S: <message 1 contents>
S: .
C: dele 1
C: retr 2
S: <message 1 contents>
S: .
C: dele 2
C: quit
S: +OK POP3 server signing off
```

Application Layer 2-15

## POP3 (more) and IMAP

### *more about POP3*

- ❖ previous example uses POP3 “download and delete” mode
  - Bob cannot re-read e-mail if he changes client
- ❖ POP3 “download-and-keep”: copies of messages on different clients
- ❖ POP3 is stateless across sessions

### *IMAP*

- ❖ keeps all messages in one place: at server
- ❖ allows user to organize messages in folders
- ❖ keeps user state across sessions:
  - names of folders and mappings between message IDs and folder name

Application Layer 2-16



## Chapter 2 part B: outline

2.3 FTP

2.4 electronic mail

- SMTP, POP3, IMAP

2.5 DNS

2.6 P2P applications

2.7 socket programming  
with UDP and TCP

Application Layer 2-17

## DNS: domain name system

*people:* many identifiers:

- SSN, name, passport #

*Internet hosts, routers:*

- IP address (32 bit) -  
used for addressing  
datagrams
- “name”, e.g.,  
www.yahoo.com -  
used by humans

**Q:** how to map between IP  
address and name, and  
vice versa ?

**Domain Name System:**

- ❖ *distributed database*  
implemented in hierarchy of  
many *name servers*
- ❖ *application-layer protocol:* hosts,  
name servers communicate to  
*resolve* names (address/name  
translation)
  - note: core Internet function,  
implemented as application-  
layer protocol
  - complexity at network's  
“edge”

Application Layer 2-18

## DNS: services, structure

### *DNS services*

- ❖ hostname to IP address translation
- ❖ host aliasing
  - canonical, alias names
- ❖ mail server aliasing
- ❖ load distribution
  - replicated Web servers: many IP addresses correspond to one name

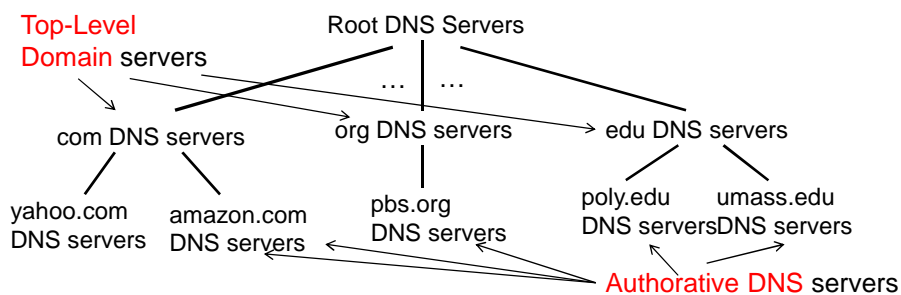
### *why not centralize DNS?*

- ❖ single point of failure
- ❖ traffic volume
- ❖ distant centralized database
- ❖ maintenance

*A: doesn't scale!*

Application Layer 2-19

## DNS: a distributed, hierarchical database



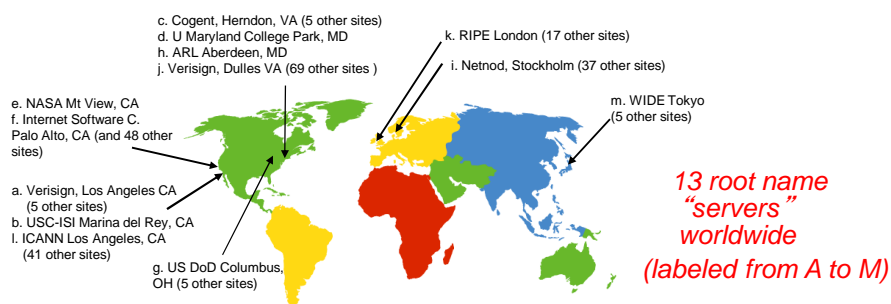
*client wants IP for www.amazon.com; 1<sup>st</sup> approx:*

- ❖ client queries root server to find com DNS server
- ❖ client queries .com DNS server to get amazon.com DNS server
- ❖ client queries amazon.com DNS server to get IP address for www.amazon.com

Application Layer 2-20

## DNS: root name servers

- ❖ contacted by local name server that can not resolve name
- ❖ root name server:
  - contacts authoritative name server if name mapping not known
  - gets mapping
  - returns mapping to local name server



Application Layer 2-21

## TLD, authoritative servers

### *top-level domain (TLD) servers:*

- responsible for com, org, net, edu, aero, jobs, museums, and all top-level country domains, e.g.: uk, fr, ca, jp
- Network Solutions maintains servers for .com TLD
- Educause for .edu TLD

### *authoritative DNS servers:*

- organization's own DNS server(s), providing authoritative hostname to IP mappings for organization's named hosts
- can be maintained by organization or service provider

Application Layer 2-22

## Local DNS name server

- ❖ does not strictly belong to hierarchy
- ❖ each ISP (residential ISP, company, university) has one
  - also called “default name server”
- ❖ when host makes DNS query, query is sent to its local DNS server
  - has local cache of recent name-to-address translation pairs (but may be out of date!)
  - acts as proxy, forwards query into hierarchy

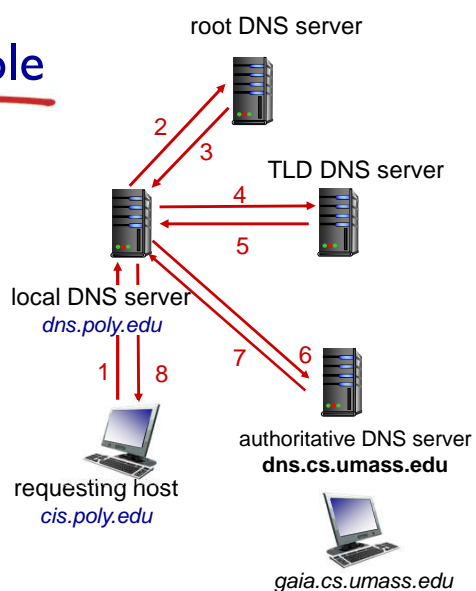
Application Layer 2-23

## DNS name resolution example

- ❖ host at cis.poly.edu wants IP address for gaia.cs.umass.edu

### *iterated query:*

- ❖ contacted server replies with name of server to contact
- ❖ “I don’t know this name, but ask this server”

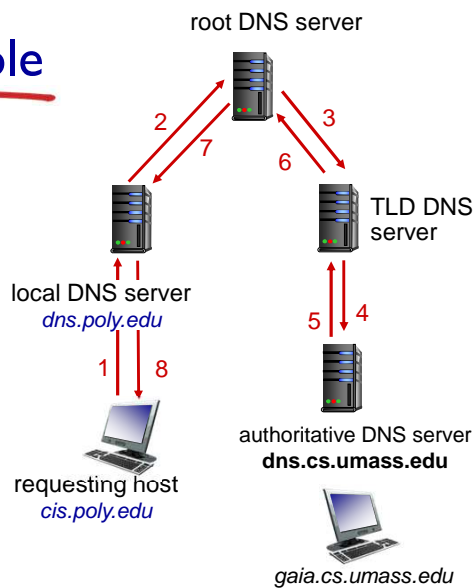


Application Layer 2-24

## DNS name resolution example

### *recursive query:*

- ❖ puts burden of name resolution on contacted name server
- ❖ heavy load at upper levels of hierarchy?



Application Layer 2-25

## DNS: caching, updating records

- ❖ once (any) name server learns mapping, it *caches* mapping
  - cache entries timeout (disappear) after some time (TTL)
  - TLD servers typically cached in local name servers
    - thus root name servers not often visited
- ❖ cached entries may be *out-of-date* (best effort name-to-address translation!)
  - if name host changes IP address, may not be known Internet-wide until all TTLs expire
- ❖ update/notify mechanisms proposed IETF standard
  - RFC 2136

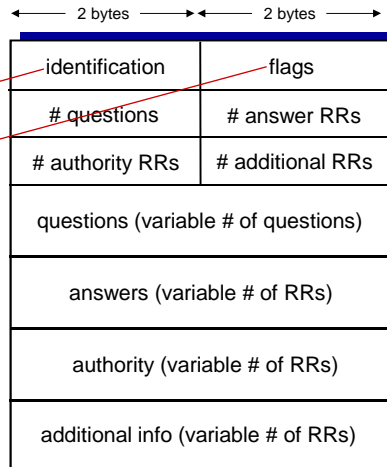
Application Layer 2-26

## DNS protocol, messages

- ❖ *query* and *reply* messages, both with same *message format*

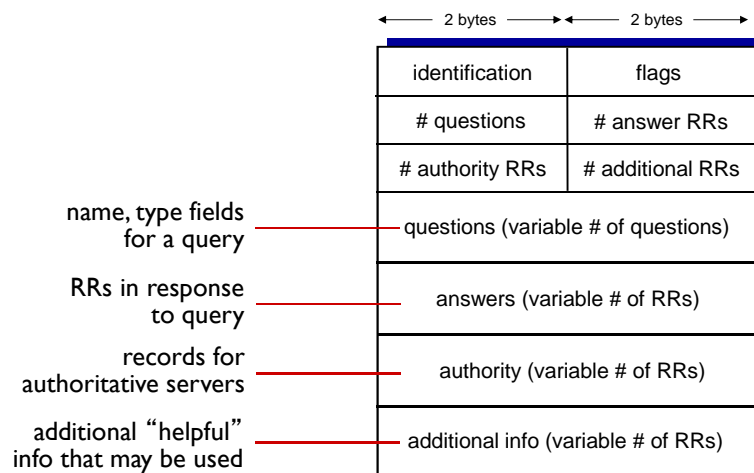
msg header

- ❖ **identification**: 16 bit # for query, reply to query uses same #
- ❖ **flags**:
  - query or reply
  - recursion desired
  - recursion available
  - reply is authoritative



Application Layer 2-27

## DNS protocol, messages



Application Layer 2-28